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PTO/SB/21 (08-00)

Approved for use through 10/31/2002. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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## **TRANSMITTAL FORM**

(to be used for all correspondence after initial filing)

Total Number of Pages in This Submission

**Application Number** 09/726,953 **Filing Date** November 29, 2000 **First Named Inventor ROD ROSS** Group Art Unit Unknown Unknown **Examiner Name** Attorney Docket Number | 156673-0008 (P009)

ENCLOSURES (check all that apply)					
Fee Transmittal Form	Assignment Papers (for an Application)	After Allowance Communication to Group			
Fee Attached	Drawing(s)	Appeal Communication to Board of Appeals and Interferences			
Amendment / Reply	Licensing-related Papers	Appeal Communication to Group  (Appeal Notice, Brief, Reply Brief)			
After Final	Petition	Proprietary Information			
Affidavits/declaration	,	Status Letter			
Extension of Time Request	Power of Attorney, Revocation Change of Correspondence Address	Other Enclosure(s) (please identify below):			
Express Abandonment Req	rest Request for Refund	PTO/SB/08A (Modified), 222 References			
Information Disclosure State	ment CD, Number of CD(s)				
Certified Copy of Priority Document(s)	Remarks				
Response to Missing Parts/ Incomplete Application					
Response to Missing under 37 CFR 1.52 o					
SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT					
Firm Babal	Redjaian, Reg. No. 42,096				
or	& MANELLA LLP				
Signature B	bak Redj	01622			
Date Octob	er 18, 2001				

CERTIFICATE OF MAILING			
	condence is being deposited with the United States Poto: Commissioner for Patents, Washington, DC 2023		
Typed or printed name	Lynda P/Murray 1//		
Signature	Cynte t. Mutrus	Date October 18, 2001	

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PTO/SB/17 (10-01)
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U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

## **FEE TRANSMITTAL** for FY 2002

Patent fees are subject to annual revision.

**TOTAL AMOUNT OF PAYMENT** 

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MITTAL 002	Complete if Known		
	Application Number	09/726,953	
	Filing Date	November 29, 2000	
	First Named Inventor	ROD ROSS .	
nual revision.	Examiner Name	Unknown	
·	Group Art Unit	Unknown	
(\$) 0	Attorney Docket No.	156673-0008 (P009)	

METHOD OF PAYMENT	FEE CALCULATION (continued)				
The Commissioner is hereby authorized to charge indicated foca and credit any overcomments to:	3. ADDITIONAL FEES				
indicated fees and credit any overpayments to:  Deposit	Large Small				
Account   09-0946	Entity Entity Fee Fee Fee Fee Fee Description	5 Daid			
Number Deposit	Fee Fee Fee Fee Fee Description I Code (\$) Code (\$)	Fee Paid			
Account Name Irell & Manella LLP	105 130 205 65 Surcharge - late filing fee or oath				
Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17	127 50 227 25 Surcharge - late provisional filing fee or cover sheet				
Applicant claims small entity status.	139 130 139 130 Non-English specification				
See 37 CFR 1.27	147 2,520 147 2,520 For filing a request for ex parte reexamination				
2. Payment Enclosed: Check Credit card Money Other	112 920* 112 920* Requesting publication of SIR prior to Examiner action				
FEE CALCULATION	113 1,840* 113 1,840* Requesting publication of SIR after Examiner action				
1. BASIC FILING FEE	115 110 215 55 Extension for reply within first month				
Large Entity Small Entity	116 400 216 200 Extension for reply within second month				
Fee Fee Fee Fee Description	117 920 217 460 Extension for reply within third month				
Code (\$) Code (\$) Fee Paid  101 740 201 370 Utility filing fee	118 1,440 218 720 Extension for reply within fourth month				
106 330 206 165 Design filling fee	128 1,960 228 980 Extension for reply within fifth month				
107 510 207 255 Plant filing fee	119 320 219 160 Notice of Appeal				
108 740 208 370 Reissue filing fee	120 320 220 160 Filing a brief in support of an appeal				
114 160 214 80 Provisional filling fee	121 280 221 140 Request for oral hearing				
<u> </u>	138 1,510 138 1,510 Petition to institute a public use proceeding				
SUBTOTAL (1) (\$) 0	140 110 240 55 Petition to revive - unavoidable				
2. EXTRA CLAIM FEES	141 1,280 241 640 Petition to revive - unintentional				
Extra Claims below Fee Paid	142 1,280 242 640 Utility issue fee (or reissue)				
Total Claims20** = X =	143 460 243 230 Design issue fee				
Claims Claims	144 620 244 310 Plant issue fee				
Multiple Dependent	122 130 122 130 Petitions to the Commissioner				
Lana Pathua u a u	123 50 123 50 Processing fee under 37 CFR 1.17(q)				
Large Entity Small Entity Fee Fee Fee Fee Description	126 180 126 180 Submission of Information Disclosure Stmt				
Code (\$) Code (\$) 103 18 203 9 Claims in excess of 20	581 40 581 40 Recording each patent assignment per property (times number of properties)				
102 84 202 42 Independent claims in excess of 3	146 740 246 370 Filing a submission after final rejection (37 CFR § 1.129(a))				
104 280 204 140 Multiple dependent claim, if not paid	149 740 249 370 For each additional invention to be				
109 84 209 42 ** Reissue independent claims over original patent	examined (37 CFR § 1.129(b))				
110 18 210 9 ** Reissue claims in excess of 20	179 740 279 370 Request for Continued Examination (RCE)	_			
and over original patent	169 900 169 900 Request for expedited examination of a design application				
SUBTOTAL (2) (\$) 0	Other fee (specify)				
**or number previously paid, if greater; For Reissues, see above	(\$) 0				

SUBMITTED BY Complete (if applicable)				applicable)	
Name (Print/Type)	Babak Redjaian	Registration No. (Attorney/Agent)	42,096	Telephone	(949) 760-0991
Signature	Babale Redi			Dete	October 18, 2001

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## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Examiner: Unknown

Art Group: Unknown

1. farles 11-8-01 12/IDS

In re Application of:

**ROD ROSS** 

Application No.: 09/726,953

Filed: November 29, 2000

For:

LASIK LAMINAR FLOW SYSTEM

## INFORMATION DISCLOSURE STATEMENT

Assistant Commissioner for Patents Washington, DC 20231-9999

Sir:

In accordance with Applicant's duty of disclosure under 37 C.F.R. §1.56, and in accordance with 37 C.F.R. §\$1.97-1.98, Applicant submits the enclosed Form PTO/SB/08A (Modified), along with copies of the documents listed therein.

It is hereby stated that this Information Disclosure Statement is being filed before the mailing of an Office Action. Applicant does not believe that a fee is required. However, if any fee is required, please charge Deposit Account No. 09-0946. An extra copy of the Fee Transmittal is enclosed for Deposit Account charging purposes.

Respectfully submitted,

**IRELL & MANELLA LLP** 

Dated: October 18, 2001

Babak Redjaian

Reg. No. 42,096

840 Newport Center Drive, Suite 400 Newport Beach, CA 92660 (949) 760-0991 CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on:

1. .. 6/

Lynda P. Murray

10/18/2001

Date